

CRE Surveillance, Identification, Containment & Response

SHARPPS Program
Communicable Disease Branch
North Carolina Division of Public Health

November 15, 2018



CRE Surveillance, Identification, Containment & Response - PART ONE

SHARPPS Program

October 18, 2018



CRE Surveillance, Identification, Containment & Response - PART TWO

SHARPPS Program

November 15, 2018

Disclosures

- Our speakers have no relevant financial disclosures or conflicts of interest related to content of this activity.
- Completion criteria: the participant must attend 100% of webinar and complete the participant evaluation to receive 1.0 CNE contact hours.
- There is no commercial support for this activity.

Accreditation Statement

Continuing education credit will be provided through the Public Health Nursing and Professional Development (PHNPD) Unit.

Public Health Nursing and Professional
Development, Department of Health and Human
Services, is an approved provider of continuing
nursing education by the North Carolina Nurses
Association, an accredited approver by the American
Nurses Credentialing Center's Commission on
Accreditation.

Objectives

Discuss reporting of CRE

Describe containment strategies for CRE

 Describe the role of public health in a unified response to CRE

Carbapenem-resistant Enterobacteriaceae (CRE)

- Enterobacteriaceae = gram negative bacteria found in the digestive tract
 - −E. Coli
 - -Klebsiella spp.
- CRE = Enterobacteriaceae resistant to carbapenem antibiotics



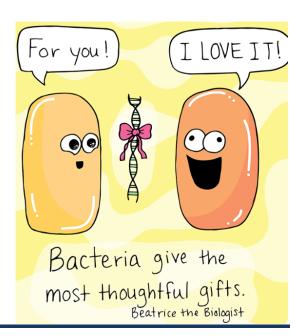
Carbapenems

- Class of Beta-lactam antibiotics
 - -Ertapenem
 - -Meropenem
 - -Imipenem
 - -Doripenem
- Usually reserved to treat drug-resistant infections

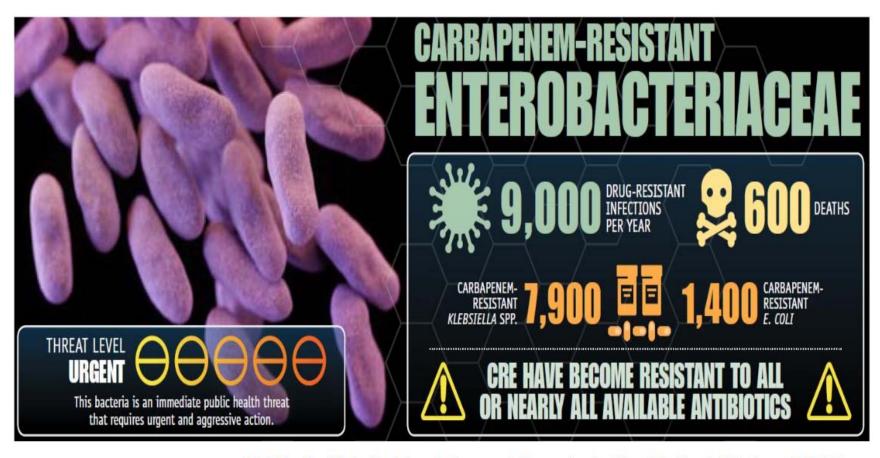


Carbapenemase producing CRE (CP CRE)

- Carbapenemase = enzyme that can break down carbapenem antibiotics
 - -Klebsiella pneumoniae carbapenemase (KPC),
 - -New Delhi metallo-β-lactamase (NDM),
 - -Verona integron encoded metallo-β-lactamase (VIM),
 - -Imipenemase metallo-β-lactamase (IMP)
 - -Oxacillinase-48 (OXA-48)
- Mobile resistance elements



CRE: an urgent public health threat



CDC: Antibiotic Resistance Threats in the United States, 2013

What NC DPH is doing:

Detect MDROs

- Increased awareness
- Surveillance
- Testing at SLPH
- Colonization screening

Ensure rapid response & containment

- Systematic response to even single cases
- Infection prevention assessments
- Inter-facility communication
- Screening for colonization

Stewardship efforts

- Antimicrobial resistance subcommittee
- Be Antibiotics Aware Campaign
- STAR partners

Education

- Webinars
- Toolkits
- Presentations
- Guidance documents

Reporting

ADDITIONS TO 10A NCAC 41A .0101

Effective October 1, 2018

Additions to 10A NCAC 41A .0101

Additions include:

-Carbapenem-resistant Enterobacteriaceae (CRE) - 24 hours

Reporting will:

- -Facilitate early detection, rapid response and containment
- -Prevent transmission
- Provide data to develop and implement prevention and control measures

What to report?

 Identification of CRE from a clinical specimen associated with either infection or colonization –AND –

What to report?

- Identification of CRE from a clinical specimen associated with either infection or colonization –AND –
- All susceptibility results (if available) AND –

What to report?

- Identification of CRE from a clinical specimen associated with either infection or colonization –AND –
- All susceptibility results (if available) AND –
- All phenotypic or molecular test results (if conducted and available)

For the purposes of reporting, Carbapenem-Resistant Enterobacteriaceae (CRE) are defined as:

(1) Enterobacter spp., E.coli or Klebsiella spp. positive for a known carbapenemase resistance mechanism or positive on a phenotypic test for carbapenemase production

or

(2) Enterobacter spp., E.coli or Klebsiella spp. resistant to any carbapenem in the absence of carbapenemase resistance mechanism testing or phenotypic testing for carbapenemase production.

How to Report

Carbapenemase-producing carbapenem-resistant Enterobacteriaceae (CP-CRE)
Case Report Form

- Survey Monkey case report form
 - Until NCEDSS Module is live

Carbapenemase-producing carbapenem-resistant Enterobacteriaceae (CP-CRE) Case Report Form

Risk package

30. Acute care hospit	lization within 1 month prior to initial culture?
•	
32. Resident of a long	term care facility within 1 month prior to initial culture?
34. Long-term acute o	are hospital stay within 1 month prior to initial culture?

Carbapenemase-producing carbapenem-resistant Enterobacteriaceae (CP-CRE) Case Report Form

Risk package

30. Acute care hospi	italization within 1 month prior to initial culture?
•	
32. Resident of a lon	g-term care facility within 1 month prior to initial culture
34. Long-term acute	care hospital stay within 1 month prior to initial culture?

Risk for CRE transmission

Carbapenemase-producing carbapenem-resistant Enterobacteriaceae (CP-CRE) Case Report Form

Risk package

47. Any internationa	l travel within	12 months	prior to	o initial	culture?
----------------------	-----------------	-----------	----------	-----------	----------



48. If yes, any healthcare exposure during this international travel?



Carbapenemase-producing carbapenem-resistant Enterobacteriaceae (CP-CRE) Case Report Form

Risk package

47. Any international travel within 12 months prior to initial culture?



48. If yes, any healthcare exposure during this international travel?



Risk for carbapenemase production

Carbapenemase-producing carbapenem-resistant Enterobacteriaceae (CP-CRE) Case Report Form

Risk package

42. Medical devices in place within 2 calendar days	prior to culture?
-----------------------------------------------------	-------------------



44. Does the patient have open wounds?



Carbapenemase-producing carbapenem-resistant Enterobacteriaceae (CP-CRE) Case Report Form

Risk package



44. Does the patient have open wounds?



Level of risk of case-patient for transmission

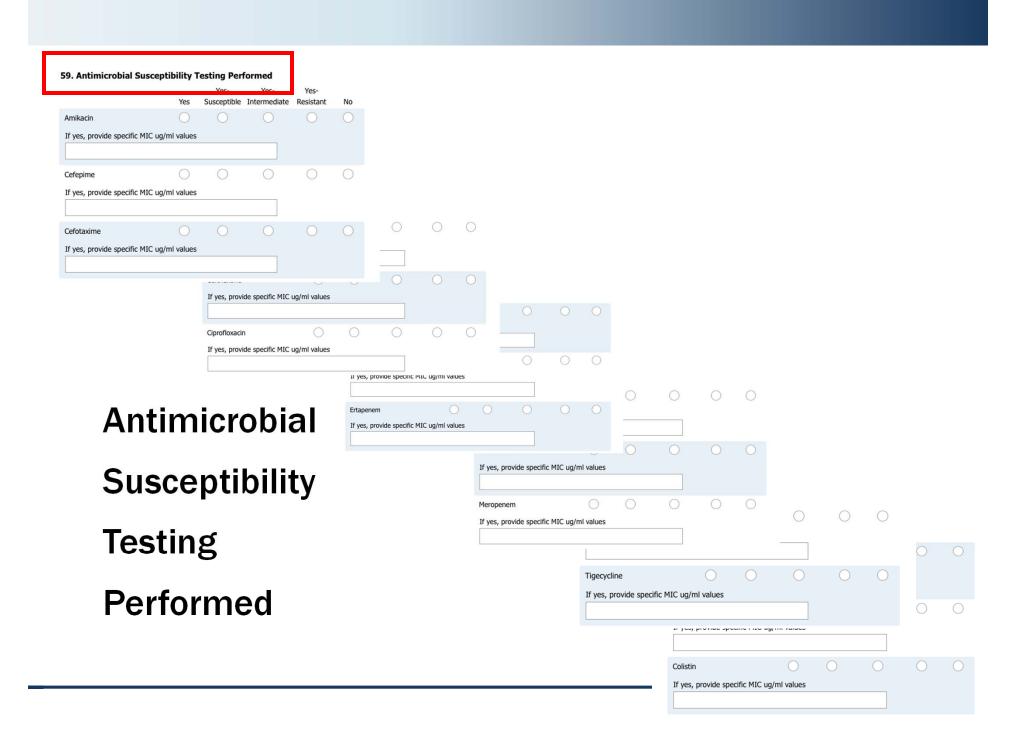
Carbapenemase-producing carbapenem-resistant Enterobacteriaceae (CP-CRE) Case Report Form

Laboratory

CASE DEFINITION

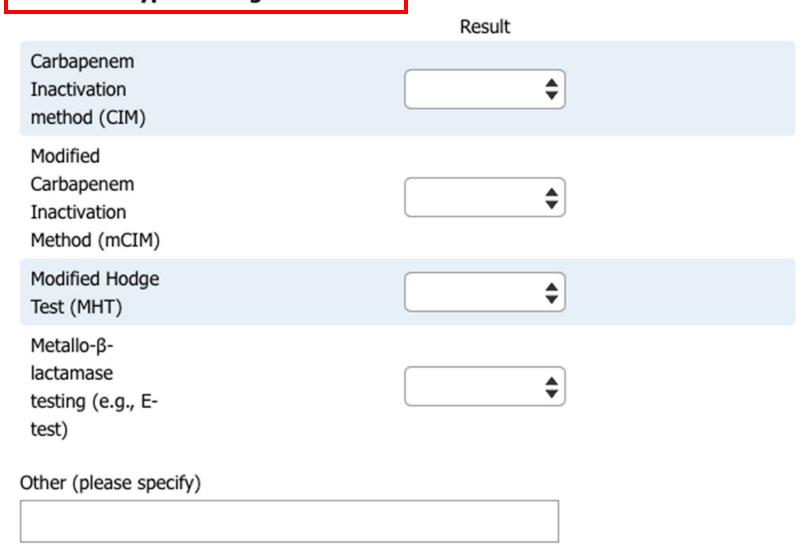
For the purposes of reporting, Carbapenem-Resistant Enterobacteriaceae (CRE) are defined as:

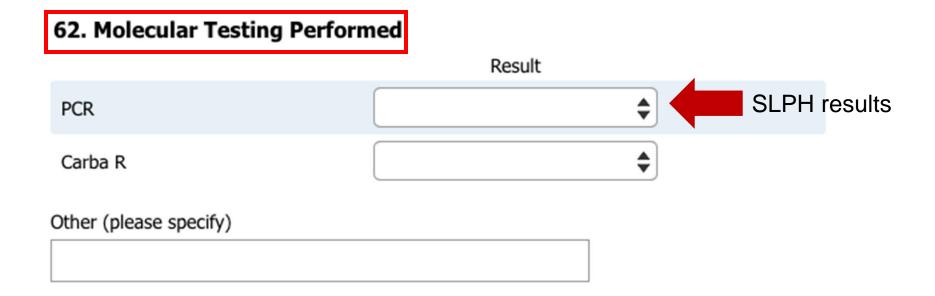
- Enterobacter spp, E. coli or Klebsiella spp positive for a known carbapenemase resistance mechanism or positive on a phenotypic test for carbapenemase production; or
- (2) Enterobacter spp, E. coli or Klebsiella spp resistant to any carbapenem in the absence of carbapenemase resistance mechanism testing or phenotypic testing for carbapenemase production.



ESBL, Confirmation Test	\circ	\bigcirc	\bigcirc	\bigcirc	\bigcirc
If yes, provide specific MIC	ug/ml values				
60. Was confirm	natory testir	ng perforn	ned (pheno	typic or n	nolecular)?
60. Was confirm	natory testir	ng perforn	ned (pheno	typic or n	nolecular)?
	natory testir	ng perforn	ned (pheno	typic or n	nolecular)?

61. Phenotype Testing Performed:





Who should report?

- For case-patient follow up
 - County of residence ("permanent" or current healthcare facility)
- For facility follow up (e.g. onsite infection prevention assessment)
 - County where facility is located

What NC DPH is doing:

Detect MDROs

- Increased awareness
- Surveillance
- Testing at SLPH
- Colonization screening

Ensure rapid response & containment

- Systematic response to even single cases
- Infection prevention assessments
- Inter-facility communication
- Screening for colonization

Stewardship efforts

- Antimicrobial resistance subcommittee
- Be Antibiotics Aware Campaign
- STAR partners

Education

- Webinars
- Toolkits
- Presentations
- Guidance documents

Investigation, containment and response

Goal: contain or slow spread of multidrug-resistant organisms



CRE Case Investigation

- Characterize the organism
- Identify if transmission is occurring
- Identify affected patients
- Ensure appropriate control measures are implemented

Standardized Response

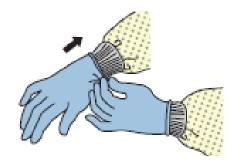
- Confirm that a case meets the case definition
- Notify patient and healthcare facilities as appropriate
- Ensure implementation of control measures
- Review the patient's risk factor information
- Conduct a healthcare investigation
- Contact investigation
- Maintain heightened awareness (prospective surveillance) for additional cases in healthcare facility

Standardized Response

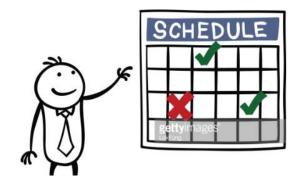
- Confirm that a case meets the case definition
- Notify patient and healthcare facilities as appropriate
- Ensure implementation of control measures
- Review the patient's risk factor information
- Conduct a healthcare investigation
- Contact investigation
- Maintain heightened awareness (prospective surveillance) for additional cases in healthcare facility

- Confirm that a case meets the case definition
- Notify patient and healthcare facilities as appropriate
- Ensure implementation of control measures
 - Review the patient's risk factor information
 - Conduct a healthcare investigation
 - Contact investigation
 - Maintain heightened awareness (prospective surveillance) for additional cases in healthcare facility

Initial control measures



Gown and gloves



Prevent opportunities for transmission



Hand hygiene

- Confirm that a case meets the case definition
- Notify patient and healthcare facilities as appropriate
- Ensure implementation of control measures
- Review the patient's risk factor information
- Conduct a healthcare investigation
 - Assess healthcare exposures
 - Conduct site visit as needed
- Contact investigation
- Maintain heightened awareness (prospective surveillance) for additional cases in healthcare facility

Site Visit: Observations

- Hand hygiene
- Direct patient care (including wound care)
- Environmental health
- General infection prevention

Hand Hygiene Competency Checklist

Hand Hygiene Competency Validation

Soap & Water Alcohol Based Hand Rub (ABHR) (60% - 95% alcohol content)

**	☐ Orientation ☐ Annual ☐ Other
Employee Name:	Job Title:

Hand Hygiene with Soap & Water	Competent			
nand nyglene with 30ap & Water	YES	NO		
1. Checks that sink areas are supplied with soap and paper towels				
2. Turns on faucet and regulates water temperature				
3. Wets hands and applies enough soap to cover all surfaces of hands				
4. Vigorously rubs hands for at least 15 seconds including palms, back of				
hands, between fingers, and wrists				
5. Rinses thoroughly keeping fingertips pointed down				
6. Dries hands and wrists thoroughly with paper towels				
7. Discards paper towel in wastebasket				
8. Uses paper towel to turn off faucet to prevent contamination to clean hands				
Hand Hygiene with ABHR				
9. Applies enough product to adequately cover all surfaces of hands				
10. Rubs hands including palms, back of hands, between fingers until all				
surfaces dry				
General Observations				
11. Direct care providers—no artificial nails or enhancements				
12. Natural nails are clean, well groomed, and tips less than ¼ inch long				
13. Skin is intact without open wounds or rashes				

PPE Competency Checklist

Personal Protective Equipment (PPE) Competency Validation

Donning and Doffing
Standard Precautions and Transmission Based Precautions

	Standard Precautions an	d Transmission Based Precautions				
Ту	pe of validation: Return demonstration	☐ Orientation ☐ Annual ☐ Other				
Emp	oloyee Name:	Job Title:				
Donning PPE				Competent		
	Doming		YES	NO		
1.	Perform Hand Hygiene					
2.	Don Gown:					
	Fully covering torso from neck to knees, arms t	to end of wrists				
3.	Tie/fasten in back of neck and waist					
4.	Don Mask/Respirator:					
	Secure ties/elastic bands at middle of head & r	neck				
5.	Fit flexible band to nose bridge					
6.	Fit snug to face and below chin (Fit-check resp	irator if applicable)				
7.	Don Goggles or Face Shield:					
	Place over face and eyes; adjust to fit					
8.	Don Gloves:					
	Extend to cover wrist of gown					
	Doffing I	PPE				

Site Visit: Control Measures

Environmental cleaning



Site Visit: Control Measures

Communicate CRE status to transferring and receiving facilities

https://epi.publichealth.nc.gov/cd/hai/docs/InterfacilityTransferInstructionsandForm.pdf

Transferring Facil	ity Name*:								
Transferring Facil	ity Address*:				INTER	ACILITY T	RAN	SFER FOR	RM
Transferring Facil Transferred to:* Transfer date/time:*	ity Phone:*		Fax:		_				
Transferred to:			Reas	on for transfer	<u> </u>		D.	-	
I ransfer date/time:"	/	_ Attend	ling pnysic	ian:"			Pnor	1e:"	
Patient/resident demogra Last Name:*	phics and vita	l signs	(date/time	taken	/_				
Last Name:	FIR	st Name		U	OB:"	Di-L-4	M	KN:	
Language C English C	I(F)	02 :	Montal et	tuct Alast	VI(ID):	Diabet	IC?_	Giuco	se
Language ☐ English ☐ Allergies* ☐ None ☐ ☐ At risk alerts* ☐ None	Jourer.		Mental Sta	Pain Level (0-1		ea □ ome	-		
At rick plants \ \ \ None	□ Ealle □ As	niration	Procesur	ulcore Coi	TUPOE F	Elenement	П)ther:	
Advanced directives*	DNR DN	I ☐ MO:	ST Livin	g Will Proxy	, Conta	ct		Allel.	
Current isolation precaut	ons*Irequired	PPE (C	back if inc	licated)					
□No □Yes, specify			☐ Droplet		rne				
			Diopier						
PPE, specify		7]			9				
Organisms / infections*	☐ None ☐	Yes, sp	ecify type/d	ate Current in	fection	Hx/Color	ized	Pendin	g result
Multi-drug resistant orga	nisms (MDRO:	5)			ate	D	ate		Date
Methicillin-resistant S	taphylococcus	aureus	(MRSA)						
Vancomycin-resistan									
Acinetobacter not su									
Enterobacteriaceae r) 🗆					
Extended-spectrum t		produce	er (ESBL)						
Clostridium difficile (). diff)								
Other:						│ □			
(e.g. Group A Str	eptococcus (G	AS), lice	, scabies, d	isséminated sh	ingles, i	iorovirus, fl	и <u>,</u> ТВ	, etc.)	
Current or recent (last 7 c									
Draining wounds	Concerning ra	ash (e.g.	vesicular)	L Conf	gh/uncor	ntrolled resp	irato	ry secretio	ns
☐ Vomiting ☐	Acute diarrhe	a or inco	ontinent of s	tool UOthe	r:				_
C	era a e dante de								
Sensory status and activi			Tennefor	Tailating	_	Meals	_	Uvaiona	Descripe
Vision Hearing	peecn Amb	ulate	Transfer	Tolleting				Hygiene	
Good Good	Difficult 0	self	Serr	Assist		Self Assist	\rightarrow	Self Assist	Self
Blind Deaf				Incontinent		Tube		☐ Not	
				Sfy:		ate:			able
Siy Siy		ible	able	Siy:		ate:		able	able
Comment desires I accord				None Diver					
Current devices / recent (Usmodishei	procedu c ootbot	or D Proce	dura capaifu tu	specily			and date	
Gastrostomy tube	nemodialysi:	tor (date	er [] Froce	dure, specify ty	ontral lin	o/BICC /day	n inc	and date _	
Gastrostomy tube _	J Officially Cause	iter (uate	iliserieu)_		enuariii	e/FICC (da	ie ilis	erteu/	
Current medications*	□ None □ v	es refer	to attached	MAR					
Carrent medications	- HOUSE - I	cs, relei	to attached	inests.					
Vaccination / test history	□ None	□Vac	enerify						
Vaccination / test history	□ None	Yes,	specify Pneumoc	occal Zoster	Td	Tdap	Tul	perculin si	cin test
Vaccination / test history Vaccine/test Date administered	☐ None Influenza (se	Yes,	Specify Pneumoc	occal Zoster	Td	Tdap	Tul	erculin sl	kin test
Vaccine/test Date administered	Influenza (se	asonal)	Pneumoc						
Vaccine/test Date administered Self-report vaccine/	Influenza (se	asonal) - s	Pneumoc	s Yes	Yes	Yes	ΠУ	es Resul	t: Pos
Vaccine/test Date administered	Influenza (se	asonal) - s	Pneumoc	s Yes				es Resul	
Vaccine/test Date administered Self-report vaccine/ test receipt? Personal items sent with	Influenza (se	asonal)	Pneumoc	es Yes	Yes	Yes	ΠУ	es Resul	t: Pos
Vaccine/test Date administered Self-report vaccine/ test receipt? Personal items sent with	Influenza (se	asonal)	Pneumoc	es Yes	□Yes □No	Yes	ΠУ	es Resul	t: Pos
Vaccine/test Date administered Self-report vaccine/ test receipt?	Influenza (se	asonal)	Pneumoc	es Yes	□Yes □No	Yes	ΠУ	es Resul	t: Pos

- Confirm that a case meets the case definition
- Notify patient and healthcare facilities as appropriate
- Ensure implementation of control measures
- Review the patient's risk factor information
- Conduct a healthcare investigation
- Contact investigation
- Maintain heightened awareness (prospective surveillance) for additional cases in healthcare facility

Contact Investigation

- In consultation with DPH,
 - Screen roommates (and potentially others) that are epidemiologically linked because of healthcare exposure
 - This is a swab collected....

Colonization screening process

Call DPH to arrange colonization screening



Arrange site visit to collect swab(s)



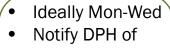
On-site visit to collect swab(s)



Send swab(s) to Regional ARLN lab

DPH will send:

- Swab(s)
- Educational resources
- Swab collection instructions
- Shipping instructions



- date
- DPH will send:
 - Shipping label



- Facility name
- Patient name
- Patient DOB
- Patient MRN

DPH will send:

Requisition forms

DPH will fax/call with results when available









- Confirm that a case meets the case definition
- Notify patient and healthcare facilities as appropriate
- Ensure implementation of control measures
- Review the patient's risk factor information
- Conduct a healthcare investigation
- Contact investigation
- Maintain heightened awareness (prospective surveillance) for additional cases in healthcare facility

Carbapenem-Resistant Enterobacteriaceae (CRE) Investigation Overview

Carbapenemase-producing CRE (CP-CRE) are of primary public health concern and as such, an investigation should be opened within 24 hours of confirming identification of CP-CRE. Notify facilities, providers, and infected/colonized patients as appropriate. Conduct an investigation to assess the risk factors for transmission in the affected setting. Implement control measures such as contact precautions as soon as possible. Discuss the need for screenings of potentially exposed individuals. The appropriate screenings can be performed through the State Laboratory of Public Health (SLPH) and by the Antibiotic Resistance Laboratory Network (ARLN) free of charge and the Communicable Disease Branch (CDB) is available to help coordinate these screenings. Screening recommendations may differ between healthcare settings. CDB is available for consultation regarding investigations, please call the Epidemiologist on call at 919-733-3419. Refer to https://www.cdc.gov/hai/outbreaks/docs/Health-Response-Contain-MDRO.pdf for additional information.

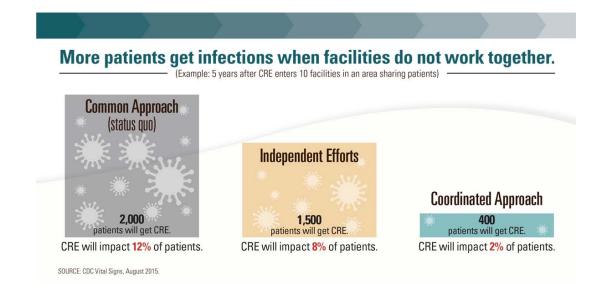
Basic Steps of a CRE Investigation						
1. C	Confirm case meets definition	If the organism is Esherichia coli, Enterobacter spp., or Klebsiella spp. and is resistant to one or more carbapenems (minimum inhibitory concentrations of ≥4 mcg/ml for meropenem, imipenem, and doripenem or ≥ 2 mcg/ml for ertapenem) it meets case definition for CRE If no phenotypic or molecular testing has been performed on the isolate, arrange to have it sent to to State Laboratory of Public Health for characterization Facilities capable of performing phenotypic or molecular testing should save isolates testing positive carbapenemase production or for a specific mechanism of resistance if possible, as they may be aske forward these to SLPH for additional characterization within one month Implement contact precautions for patient as soon as CRE is identified				
P	lotifying the Facility, Providers, and Patients as ppropriate	Notify the facility, providers, and patients (infected or colonized) and conduct a healthcare investigation Review healthcare exposure (typically for the past 30 days) Notify identified healthcare facilities Ensure appropriate control measures are in use (i.e. contact precautions and appropriate environmental cleaning)				
	rerform Risk Assessment of Patient	Review patient's exposures and risk factors including: Recent international hospitalizations Antibiotic use within the past 30 days Hemodialysis treatment Recent domestic hospitalizations (notify facility if appropriate) Recent procedures (endoscopies, surgeries etc.) Indwelling Medical Device Use (Intravenous catheters, urinary catheters, tracheostomy tubes etc.) Open wounds (If yes, which wound clinic is attended and notify if appropriate) Recent Long Term Care Facility admission (Document name of facility and notify if appropriate) Refer to Case Report Form for more information				
C	Conduct IP Assessment (in onsultation with the North Carolina Division of Public lealth)	Assess infection prevention (IP) practices and opportunities for transmission Risk of Transmission Hand hygiene compliance of healthcare workers Does the patient share a room? Was the patient on contact precautions during healthcare stay? Did the patient share any devices for procedures (endoscopes etc.)? Are there any environmental reservoirs (Do cleaning services use FDA approved cleaners)? Interfacility communication regarding MultiDrug-Resistant Organisms if the patient was transferred A site visit to one or more facilities may be warranted (consult DPH for more information)				
	Conduct a Contact Investigation	CDC recommends screening roommates and others that are epidemiologically linked due to recent healthcare exposure The following are considerations to make when assessing who to screen Recent healthcare exposure Mechanism of Resistance Contact Precautions Infection Prevention assessment The North Carolina Division of Public Health is available to facilitate surveillance screening and coordination with the Antibiotic Resistance Laboratory Network				
6. P	Prospective Surveillance	Monitor infection prevention practices to reduce transmission within the facility Monitor facility for new CRE or carbapenemase producing CRE cases				

For more information: https://epi.publichealth.nc.gov/cd/lhds/manuals/cd/toc.html

Partnership is essential

- CDC & Antibiotic Resistant Laboratory Network (ARLN)
- State Laboratory of Public Health (SLPH)
- North Carolina Division of Health Service Regulation (DHSR)
- Statewide Program for Infection Prevention and Epidemiology (SPICE)
- Local Health Departments
- Facilities

Coordinated Approaches Prevent MDROs



Resources:

- https://epi.publichealth.nc.gov/cd/lhds/manuals/cd/reportable_diseases.html
- **NEW** Carbapenem Resistant Enterobacteriaceae (CRE)

Investigation overview

Case Definition

Algorithm for new cases

Case report form **Temporary until NCEDSS is Live**

<u>Carbapenemase-producing carbapenem-resistant Enterobacteriaceae (CP-CRE) Case</u> <u>Report Form Survey</u>

CRE Lab Guide

Resources:

- CDC CRE Toolkit
- Interim Guidance for a Public Health Response to Contain Novel or Targeted Multidrugresistant Organisms (MDROs)
- MDRO toolkit for long-term care and assisted living facilities
- Management of MDROs

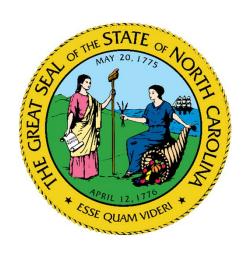
Reporting Algorithm

Reporting Carbapenemase-Producing Carbapenem-Resistant Enterobacteriaceae (CP-CRE) to NC DPH Escherichia coli, Enterobacter species, or Klebsiella species NOT REPORTABLE YES Performed phenotypic or molecular testing for carbapenemase production using a recognized test* *Refer to https://wwwn.cdc.gov/nndss/conditions/carbapenemase-producing-carbapenem-resistant-enterobacteriaceae/case-definition/2018/. Note that if relying on Modified Hodge or CARBA NP, NC DPH requests isolate submission regardless of these results. YES Resistant to one or more carbapenems (minimum inhibitory concentrations of ≥4 NO NOT REPORTABLE mcg/mL for meropenem, imipenem, and doripenem or ≥ 2 mcg/mL for ertapenem) Request isolate be sent to State Laboratory of Public Health (SLPH) for carbapenemase testing **ISOLATE SENT** ISOLATE NOT SENT Phenotypic test* Molecular test negative for Molecular test positive for Phenotypic test positive for negative for known carbapenemase (KPC, known carbapenemase (KPC, carbapenemase production** **If no molecular testing is performed IMP, NDM, OXA, or VIM) IMP, NDM, OXA, or VIM) carbapenemase production *CRE with negative Modified Positive for KPC Positive for IMP, NDM, Hodge results are reportable in the absence of additional testing production OXA, or VIM production If isolate not tested by SLPH, send to SLPH for confirmation NOT REPORTABLE REPORTABLE November 2018

Containment resources:

- Management of Multidrug Resistant
 Organisms in Healthcare Settings, 2006
 https://www.cdc.gov/hicpac/mdro/mdro_toc.html
- Interim Guidance for a Public Health Response to Contain Novel or Targeted Multidrug-resistant Organisms (MDROs) https://www.cdc.gov/hai/outbreaks/docs/Health-Response-Contain-MDRO.pdf
- Facility Guide for Control of CRE <u>https://www.cdc.gov/hai/pdfs/cre/CRE-guidance-508.pdf</u>
- Antimicrobial Stewardship
 http://epi.publichealth.nc.gov/cd/antibiotics/campaign.html
- NCHAI@DHHS.NC.GOV





Questions?

 $\textbf{SHARPPS inbox:} \ \underline{\textbf{NCHAI@DHHS.NC.GOV}}$

Epi-On-Call: 919-733-3419

